

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
**Philip Rousselle**

Serial No.: **10/672,795**

Filed: **September 26, 2003**

For: **Implementing request/reply  
programming semantics using  
publish/subscribe middleware**

§ Attorney Docket No. **ADVENT007US2**  
§  
§  
§ Examiner: **Unknown**  
§  
§  
§  
§ Art Unit: **2122**

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. § 1.97(b)(3)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith the references listed on the attached Substitute Form 1449/PTO pursuant to 37 C.F.R. § 1.97(b)(3), i.e., before the mailing of the first Office action on the merits.. Copies of these references are included herewith.

CERTIFICATE OF MAILING  
under 37 CFR 1.8

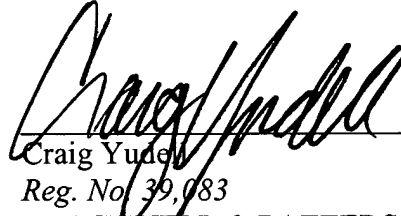
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450**, on Feb. 4, 2004.

**MARY ANN HEALEY**  
Type Name of Person Signing

*Mary Ann Healey*  
Signature of Person Signing

No fee is believed to be due to submit this paper; however, please charge any fees necessary to further the prosecution of this application to **Bracewell & Patterson, L.L.P.**  
**Deposit Account No. 50-0259.**

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Craig Yudel", is written over a horizontal line.

Craig Yudel  
*Reg. No. 39,083*  
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ATTORNEY FOR APPLICANT(S)

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<b>Substitute for form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/672,795
				Filing Date	September 26, 2003
				First Named Inventor	Philip Rousselle
				Art Unit	2122
				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	ADVENT007US2

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A	TERRY, S.; Enterprise JMS Programming, 2002, pgs. cover, 87-89, 132-134, 271-291 MaT Books, NY, U.S.A.;	
	B	ROUSSELLE, P.; Implementing the JMS Publish/Subscribe API; Dr. Dobb's Journal, San Mateo, CA, U.S.A.; April 2002, pgs. 28, 30-32	
	C	Java Message Service (JMS) for J2EE, 1st Edition, August 2002; New Riders Publishing, pgs. cover page, 257-275	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.  
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:  
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